Corona alternating current electrospinning: A combined approach for increasing the productivity of electrospinning Farkas B., Balogh A., Cselko R., Molnár K., Farkas A., Borbas E., Marosi Gy., Nagy Z. K.

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1	Corona Alternating Current Electrospinning: A combined approach for
2	increasing the productivity of electrospinning
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18	dissolution enhancement, solution conductivity, scale-up

# 19 Abstract

Corona alternating current electrospinning (C-ACES), a scaled-up productivity
electrospinning method was developed by combining the intense forces of the alternating
electrostatic field and a sharp-edged spinneret design with increased free surface. C-ACES
reached two orders of magnitude higher productivity (up to 1200 mL/h) than the classical single
needle direct current electrospinning (DCES) without any alteration of fiber properties.
Polyvinylpyrrolidone K90 (PVPK90), a water soluble high molecular weight nonionic polymer

was processed for the first time with single needle alternating current electrospinning (ACES) 26 and C-ACES in order to prepare fast dissolving amorphous solid dispersions of spironolactone 27 (SPIR), a poorly water-soluble antihypertensive model drug. The limited spinnability of 28 29 PVPK90 with AC high voltage could only be resolved by optimizing the solution conductivity with organophilic salts such as sodium dodecyl sulfate (SDS) demonstrating the importance of 30 conductivity during ACES. The effects of varied solution properties (composition and 31 conductivity) and scaling-up were investigated by SEM imaging. Solid state analyses revealed 32 that SPIR was dispersed in an amorphous form in the fibrous mats. In vitro dissolution tests 33 showed ultrafast drug release in case of the amorphous formulations even when prepared with 34 35 scaled-up C-ACES. Besides the enhancement of conductivity SDS also prevents SPIR from precipitation from the dissolution media due to its solubilization ability. 36

## 37 **1. Introduction**

The number of poorly water soluble drugs for the last decades has been growing in the pharmaceutical industry. This phenomenon sets a great challenge for pharmaceutical researchers since poor water solubility leads to low dissolution speed and therefore unsatisfactory bioavailability levels. Therefore, the development of methods aiming to overcome this hurdle is becoming more and more important (Kawabata et al., 2011, Vasconcelos et al., 2007).

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Dissolution properties can be enhanced by increasing the specific surface area and the saturation solubility of the drug based on the Noyes-Whitney equation (Hörter and Dressman, 2001, Yu et al., 2018). For creating large surfaces particle size reduction methods such as micronization and nanonization are applicable ways (Li et al., 2017). In addition, higher dissolved drug concentration can be achieved by solubilizing the drug using surfactants or complexing agents such as cyclodextrins (Borbás et al., 2015). Besides these approaches, the

amorphization of a drug by preparing amorphous solid dispersions (ASDs) allows much higher 51 drug concentration by reaching a supersaturated state (Yu et al., 2019, Zupančič et al., 2018a). 52 By forming a molecular dispersion of an active pharmaceutical ingredient (API) in a matrix 53 polymer, ASDs lead to an enhanced dissolution due to the higher energy state of the drug 54 amorphized this way (Skrlec et al., 2019). Moreover, it has been shown that not only the release 55 but the absorption is also assisted with ASDs due to the evolving supersaturated solution during 56 dissolution (Borbás et al., 2018, Frank et al., 2014). The number of marketed pharmaceutics 57 based on ASDs has almost doubled in the last five years indicating the importance of these 58 methods (Jermain et al., 2018). 59

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The combination of the amorphous form of the API and increased specific surface area 61 results in even better dissolution. Electrospinning (ES) has gained great attention due to the 62 63 ability to form large surface area fibrous ASDs from polymeric solutions and melts under the drawing force of the electrostatic field (Balogh et al., 2018, Balogh et al., 2014, Hirsch et al., 64 65 2018, Marosi et al., 2018, Zupančič et al., 2018b). Direct current electrospinning (DCES) is the simplest and most common method for preparing electrospun ASDs with controlled drug 66 release (e.g., sustained (Angkawinitwong et al., 2017, Liu et al., 2018), targeted (Nagy et al., 67 68 2013) or ultrafast release (Farkas et al., 2018, Nagy et al., 2010)). Despite these advantages the productivity of DCES is quite low ( $\sim 1-2$  g/h) for industrial applications (Lukáš et al., 2009). 69 The simplest attempt for the scale up was the introduction of multiple spinnerets, although it 70 71 turned out to be challenging due to the perpetual clogging of the spinning tips (Theron et al., 2005). Therefore, needleless methods were developed to increase productivity such as free 72 surface ES (Persano et al., 2013). Even better results could be achieved with the combination 73 of the centrifugal force and the electrostatic field with a reported maximum of 1500 mL/h at 74 40,000 rpm (Kostakova et al., 2017, Nagy et al., 2015). 75

77 At corona ES the solution continuously exits a narrow, annular orifice (Molnar and Nagy, 2016). The annulus is surrounded by a metal electrode having sharp edge from the 78 79 outside. The highest electrical charge density forms along the sharp edge (i.e., where the solution is fed), which results in many Taylor-cones. The spinneret is rotated at moderate 80 angular velocity in order to homogeneously disperse the polymer solution along the annulus 81 82 and to prevent local overflows. Corona ES offers a much simpler mechanical design compared to the high frequency versions and reaches a maximum productivity of 300 mL/h, thus, it could 83 offer a more desired choice for the scale up of electrospinning. 84

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Novel alternating current electrospinning (ACES) also provides multiple times higher 86 productivities by simply replacing the direct current high voltage generator with an alternating 87 88 current power supply (Balogh et al., 2015a, Pokorny et al., 2014). During ACES multiple jets are drawn from the droplet leaving the tip of the spinneret. As a result, a so-called nanofibrous 89 plume is generated from the polymeric solutions carried by the electric wind. Due to the 90 91 alternately charged plume the collection is implemented without a grounded surface making the process simpler with similar fiber morphology compared to DCES. The productivity of 92 93 ACES could also be extended with the combination of the centrifugal force expecting even higher throughputs compared to DC high voltage. However, ACES has never been connected 94 with a rotating-type spinneret so far. 95

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97 Recent studies revealed that solution conductivity is an essential factor during ACES 98 besides the molecular weight of the applied polymer. Cellulose derivatives of low molecular 99 weight hydroxypropylmethylcellulose (HPMC 2910, Mw = 20 kDa) and 100 hydroxypropylmethylcellulose acetate succinate (HPMCAS LF, Mw = 18 kDa) were processed

with ACES for pharmaceutical uses (Balogh et al., 2017, Balogh et al., 2016). Both HPMC and 101 HPMCAS were found to be poorly electrospinnable regardless the type of the applied high 102 voltage. The addition of small amounts of polyethylene oxides as active fiber forming agents 103 resolved the issue of poor fibers with HPMC. In the case of HPMCAS the optimization of 104 solution conductivity was also required for defect-free AC electrospun fibers. For that purpose 105 SDS, NH4OAc and CaCl2 were suitable excipients and also well soluble in the used solvent 106 mixture (DCM-EtOH 1:1). A high molecular weight polymer never failing with DCES, 107 108 polyvinylpyrrolidone K90 (PVPK90) was also tested with ACES, but only low quality samples could be obtained at higher feeding rates (Balogh et al., 2015a). Thus, the question arises 109 whether the optimization of solution conductivity would result in good quality fibrous mats 110 from PVPK90. 111

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113 Accordingly, in this study we attempted to increase the productivity of ES with the combination of ACES and the corona-type spinneret. Polyvinylpyrrolidone K90 (PVPK90) 114 115 was selected as fiber forming polymer considering that one third of the marketed ASDs are based on polyvinylpyrrolidones. Relying on our earlier experiences the hurdle of poor ACES 116 electrospinnability of PVPK90 was attempted to be resolved by the optimization of solution 117 conductivity. Spironolactone (SPIR), an antihypertensive with limited water solubility 118 (28 µg/mL, (Nagy et al., 2012)) was chosen as the model drug. SPIR is known for being prone 119 to precipitation; this matter also had to be considered during formulation development. The 120 morphology of the fibrous samples was monitored with scanning electron microscopy (SEM). 121 The physical state of the drug was studied with differential scanning calorimetry (DSC), X-122 ray powder diffraction (XRPD) and Raman mapping. In vitro dissolution tests were 123

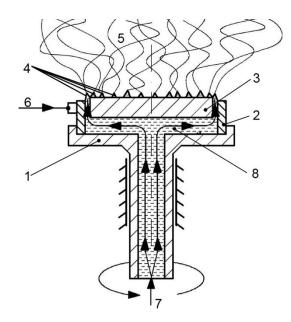


Figure 1. The corona electrospinning setup. 1) rotating spinneret, 2) high voltage 126 electrode, 3) inner part, 4) annular orifice with forming Taylor-cones, 5) forming fibers, 127 6) high voltage source, 7) solution feed, 8) distribution channel (Molnar et al. 2012) 128

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#### 2. Materials and methods 130

#### 2.1. Materials 131

Polyvinylpyrrolidone K90 (PVPK90) with an average molecular weight of ~1000 kDa was 132 received from BASF (Ludwigshafen, Germany). Spironolactone (SPIR) from Sigma-Aldrich 133 (Budapest, Hungary) was used as API. Organic and inorganic salts of sodium dodecyl sulfate 134 (SDS), anhydrous calcium chloride (CaCl2), and ammonium acetate (NH4OAc) were obtained 135 136 from Sigma-Aldrich. Absolute ethanol (EtOH) and dichloromethane (DCM) were purchased from Molar Chemicals (Budapest, Hungary). Direct current electrospinning (DCES) 137

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**2.2.** Direct current electrospinning (DCES) 139

The DCES tests were conducted using an NT-35 high voltage direct current supply 140 (MA2000; Unitronik Ltd, Nagykanizsa, Hungary). The electrical potential applied on the 141

spinneret electrode was 25 kV in all cases. A grounded aluminum plate covered with aluminum foil was used as collector. The distance of the spinneret and the collector was 20 cm. Solutions of the polymeric excipient and the drug were prepared for electrospinning using a magnetic stirrer (600 rpm). The solutions were dosed by a SEP-10S Plus type syringe pump through a needle spinneret (1 mm ID, 2 mm OD) at 10 mL/h rate.

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## 2.3. Direct current corona electrospinning (C-DCES)

The C-DCES tests were conducted using an NT-35 high voltage direct current supply (MA2000; Unitronik Ltd, Nagykanizsa, Hungary). The electrical potential applied on the spinneret electrode was 40 kV in all cases. A grounded aluminum plate covered with aluminum foil was used as collector. The distance of the spinneret and the collector was 20 cm. Solutions of the polymeric excipient and the drug were prepared for electrospinning similarly to that of the DCES experiments. The solutions were dosed by a SEP-10S Plus type syringe pump through a corona spinneret (110 mm OD) at 100-300 mL/h rate.

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## 2.4. Alternating current electrospinning (ACES)

The ACES experiments were conducted using an FME-24 voltage transformer 156 157 (24,000 V/100 V ratio) (Transzvill Ltd, Budapest, Hungary) fed by a 0-230 V variable transformer. The electrical potential applied on the spinneret electrode was 25 kV (root mean 158 square, RMS) at the frequency of the mains voltage (50 Hz). The sinusoidal AC high voltage 159 160 was controlled by manual feedback using the variable transformer based on the measured output signal of a high voltage probe connected to the electrode. Solutions of the polymeric excipient 161 and the drug were prepared for electrospinning using a magnetic stirrer (600 rpm). The solutions 162 were dosed by a Harvard Apparatus Model 33 type twin syringe pump (Harvard Apparatus Inc., 163 Holliston, Massachusetts, USA) through a needle spinneret (1 mm ID, 3 mm OD) at 164 165 predetermined flow rates. The flying fibers were collected in a basket fixed to an insulating PVC rod positioned above the spinneret in 20–100 cm distances. 166

## **2.5.** Corona alternating current electrospinning (C-ACES)

The corona alternating current electrospinning (C-ACES) experiments were performed 168 with a rotating corona spinneret set to 100 rpm ((Molnar and Nagy, 2016), Fig. 4). The diameter 169 170 of the annular orifice was 110 mm. The annulus was surrounded by a sharp-edged aluminum part from the outside and a polyamide part from the inside. The gap size (gap between these 171 two parts, in which the solution leaves the spinneret) was 1 mm. The C-ACES experiments 172 were conducted using a TUR PEO 8/100A voltage transformer (200/100,000 V ratio, VEB 173 174 Transformatoren und Röntgenwerk Dresden) fed by a 0-230 V variable transformer. The electrical potential applied on the corona spinneret electrode was 100 kV (root mean square, 175 176 RMS) at the frequency of the mains voltage (50 Hz). The sinusoidal AC high voltage was controlled by manual feedback using the variable transformer based on the measured output 177 signal of a high voltage capacitive divider connected to the electrode. Polymeric solutions were 178 179 prepared similarly to the ACES experiments, and the same Harvard Apparatus Model 33 type twin syringe pump was used for feeding the corona spinneret between 100 and 1500 mL/h rate. 180 For safety precautions, both the syringe pump and the corona spinneret were operated from a 181 182 12 V battery and placed in a Faraday-cage. The collection of the fibers was aided with a grounded metal grid positioned 75 cm above the rotating spinneret. 183

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## 2.6. Scanning electron microscopy (SEM) and fiber diameter analysis

Morphology of the samples was investigated by a JEOL 6380LVa (JEOL, Tokyo, Japan) type scanning electron microscope. Each specimen was fixed by conductive doublesided carbon <u>adhesive</u> tape and sputter coated with gold prior to the examination. Applied accelerating voltage and working distance were  $15-30 \,\text{kV}$  and  $10 \,\text{mm}$ , respectively. A randomized fiber diameter determination method was used based on scanning electron microscopy imaging as described in our previous work (Balogh et al., 2015b), n = 100measurements were made on each sample.

## **2.7.** Differential scanning calorimetry (DSC)

193 <u>Differential scanning calorimetry</u> measurements were carried out using a Setaram 194 (Calure, France) DSC 92 apparatus (sample weight:  $\sim 10-15$  mg, open aluminum pan, <u>nitrogen</u> 195 flush). The temperature program consisted of an isothermal period, which lasted for 1 min at 196 25 °C, with subsequent linear heating from 25 °C to 250 °C at the rate of 10 °C/min. Purified 197 <u>indium</u> standard was used to calibrate the instrument.

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## **2.8. X-ray powder diffraction (XRPD)**

199Powder X-ray diffraction patterns were recorded by a PANanalytical X'pert Pro MDP200X-ray diffractometer (Almelo, The Netherlands) using Cu-K $\alpha$  radiation (1.542 Å) and Ni filter.201The applied voltage was 40 kV while the current was 30 mA. The untreated materials, a physical202mixture composition and the fibrous samples as spun were analyzed for angles 20 between 4°203and 42°.

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# 2.9. Raman mapping

Raman mapping was carried out using a Horiba Jobin-Yvon LabRAM (Longjumeau, 205 France) system coupled with an external diode laser source (785 nm, 80 mW) and an Olympus 206 BX-40 optical microscope. The fibrous samples were gently compressed into a flat tablet 207 (Camilla OL95; Manfredi, Torino, Italy) and the spectra were recorded with an objective of 208  $50 \times$  (NA = 0.5) magnification. The measured area was  $100 \times 100 \ \mu\text{m}^2$  with 5  $\mu\text{m}$  step size in 209 210 both directions meaning that 441 spectra were gathered from each sample. The component concentrations were estimated with the classical least squares (CLS) method using the reference 211 212 spectra of the pure components collected on the same device under the same conditions. Visualized score maps were created with LabSpec 5.41 (Horiba Jobin–Yvon). 213

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## 2.10. In vitro dissolution measurement

The <u>dissolution</u> studies were performed using a Pharmatest PTWS 600 dissolution tester
(USP II apparatus (paddle); Hainburg, Germany). Samples equivalent to 25 mg of SPIR were

added directly into the dissolution vessel containing 900 mL of dissolution liquid (pH = 6.8 100 mM phosphate buffer prepared according to USP). Electrospun samples were used for dissolution tests as spun. The temperature was maintained at  $37 \pm 0.5$  °C and stirred at 100 rpm. An on-line coupled Agilent 8453 UV–Vis spectrophotometer (Palo Alto, CA) was used to measure the concentration of dissolved SPIR at a wavelength of 244 nm. Percentage of dissolution was readily calculated according to the calibration curve of SPIR due to the lack of absorption peaks of the applied excipients in this range.

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## 225 3. Results and discussion

### 226 3.1. Processing PVPK90 with ACES

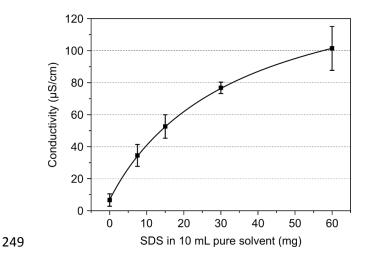
227 PVPK90 is known to be well processable with DCES. It was no different in this case since fine PVPK90 228 fibers could be electrospun from simple DCM-EtOH solutions at a throughput rate of 10 mL/h utmost. In 229 contrast as described in the introductory part PVPK90 could not be processed with ACES at increased 230 throughput rates (>10 mL/h) during an earlier study from simple ethanol-based solutions (Balogh et al., 231 2015a). To begin with, the optimization of polymer concentration and conductivity was performed in order 232 to obtain AC electrospun PVPK90 nanofibers at elevated productivity. A 42 full factorial design of experiments (DoE) was carried out, the amount of PVPK90 and the solution conductivity were set on four 233 234 levels (see Table 1 for exact values). SDS was selected to adjust conductivity as an organic salt well soluble 235 in DCM-EtOH solvent mixtures. The concentration of SDS was exponentially increased so that its effect on 236 fiber morphology could be investigated in a wider range. The concentration of PVPK90 was varied based 237 on earlier experiments with pure ethanol (Balogh et al., 2015a, Vigh et al., 2013). The mixture of DCM-238 EtOH (50:50 vol/vol%) was used as it is able to dissolve both hydrophobic and hydrophilic components 239 while high volatility aids fiber formation and minimizes residual solvent content.

Table 1. The 4<sup>2</sup> design table for solution compositions tested with ACES of PVPK90. The optimal
composition is marked with green.

PVPK90-SDS compositions dissolved in 10 mL pure DCM-EtOH 1:1				
PVPK90	SDS and solution conductivity			
PVPK90	<b>7,5 mg</b> (~34 µS/cm)	<b>15 mg</b> (~52 µS/cm)	<b>30 mg</b> (~75 µS/cm)	<b>60 mg</b> (~103 µS/cm)
250 mg	Mainly beads and droplets, few fibers			

			Fig. 2a		
500 mg	Beads and droplets, more fibers	Beads and droplets, more fibers	Beads and droplets, more fibers <b>Fig. 2b</b>	Beads and droplets, more fibers More beads and droplets, poor fibers <b>Fig. 2 g</b>	
750 mg	Less beads and droplets, more fibers <b>Fig. 2e</b>	Less beads and droplets, more fibers <b>Fig. 2f</b>	No beads, no droplets, decent fibers <b>Fig. 2c</b>		
1,000 mg	More beads and droplets, poor fibers	More beads and droplets, poor fibers	More beads and droplets, poor fibers <b>Fig. 2d</b>	More beads and droplets, poor fibers	

As it can be seen in Fig. 1, SDS is an effective conductivity enhancer since a 7-fold increase in solution conductivity could be observed even when added in low concentrations (~34  $\mu$ S/cm in the 7.5 mg/10 mL solution compared to the initial solution with no SDS (~5  $\mu$ S/cm)). Concentrations over 60 mg/10 mL resulted in regressively increasing conductivity thereby also approaching the solubility limit of SDS. This range of conductivity proved to be feasible during earlier studies to examine the effect of solution conductivity on fiber morphology in case of ACES (Balogh et al., 2017).



250 Figure 1. Conductivity as a function of dissolved SDS in 10 mL DCM-EtOH 1:1. The value of conductivity



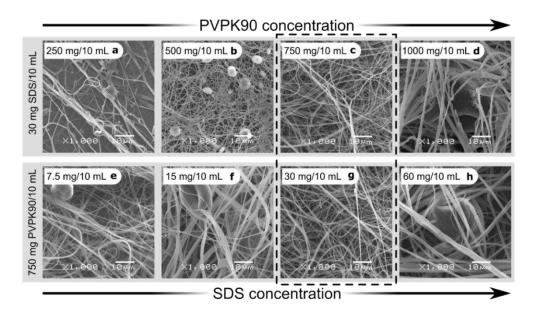


Figure 2. Scanning electron microscopic images of AC electrospun PVPK90 fibers as a function of (a-d)
polymer concentration at fixed conductivity (optimal 75 μS/cm) and (e-h) SDS concentration at fixed
polymer concentration (optimal 750 mg/10 mL pure solvent). Images c and g show the same overall
optimum. (DCM-EtOH 1:1, 25 kV<sub>RMS</sub>, 60 mL/h)

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The DoE study provided the following results: Beads and droplets appeared among the fibers spun at low polymer concentrations (250 mg or 500 mg PVPK90 in 10 mL DCM-EtOH 1:1) regardless the applied amount of SDS (Fig. 2a and b). However, with an increased conductivity the amount of beads and droplets notably reduced. Increasing the concentration of PVPK90 to 750 mg/10 mL and setting SDS to 30 mg/10 mL (~75  $\mu$ S/cm) resulted in bead- and dropletfree, excellent quality fibers (Fig. 2c and g) giving the optimal composition.

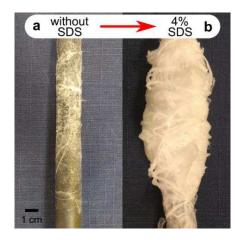


Figure 3. Comparison of AC electrospun PVPK90 samples (a) without SDS added in the solution and (b)
with SDS introduced in the solution (25 kV<sub>RMS</sub>, 60 mL/h).

The remarkable difference between the AC electrospun PVPK90 samples without and 267 with adjusting conductivity can be seen in Fig. 3. Without adding SDS into the polymer solution 268 the ACES resulted in the spattering of the liquid with little amount of fibers formed making the 269 270 product practically non collectible (Fig. 3a). In comparison a loose, easily collectible fibrous plume could be obtained when the solution conductivity was optimized with SDS (Fig. 3b). 271 That result provided satisfactory evidence to our primary hypothesis that the processability of 272 PVPK90 with ACES can be resolved simply with optimized conductivity and without the need 273 for other polymeric excipients such as PEO. 274

275 The determined optimal conductivity value ( $\sim$ 75  $\mu$ S/cm) resembles with our earlier findings with HPMCAS solutions indicating a more general correlation between solution 276 conductivity and AC electrospinnability (Balogh et al., 2017). Presumably, ionic additives aid 277 278 faster charge transfer rates when polarity changes periodically on the polymeric liquid, thus, at an optimum conductivity value the full potential of ACES can be reached in terms of defect-279 free fiber morphology and increased throughput rates. When either the polymer concentration 280 or the conductivity was increased any further from the optimum values the quality decreased as 281 it can be seen in Fig. 2d and h. Adding the components over their optimum values larger droplets 282 appeared and the fibers thickened (Fig. 2h). It could be also observed that the fibrous samples 283 had become more brittle due to the contaminating particles with larger dimensions. Scaled up 284 productivity C-ACES experiments 285

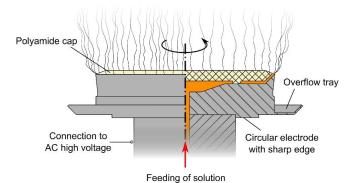
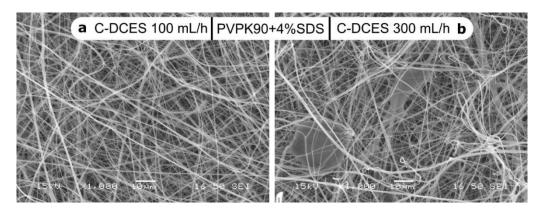


Figure 4. The schematic drawing of the C-ACES method with the corona spinneret (OD=110 mm) coupled with AC high voltage. The application of a grounded surface is also recommended for proper fiber formation (not shown here).

After the optimization of the production of PVPK90 with ACES, scaled-up preparation of 290 291 fibrous mats was attempted with C-DCES and the novel C-ACES method (Fig. 4). According to previous studies the application of a corona spinneret usually requires higher DC voltage 292 (~40 kV) compared to a single needle spinneret (25 kV) (Molnar and Nagy, 2016). C-DCES 293 could be operated at ten times higher throughput rate when processing the optimized PVPK90-294 SDS solution compared to single needle DCES without any alteration in fiber morphology (Fig. 295 296 5a). However, the increase of feeding rate to 300 mL/h resulted in significantly deteriorated fiber morphology with large droplets among the fibers (Fig. 5b). Also at this throughput range 297 298 a part of the solution sputtered and drained into the overflow tray of the corona plate indicating that the maximum productivity in this case is around 100 mL/h. 299

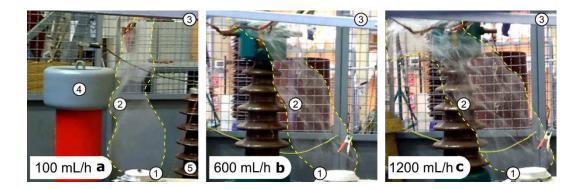


### 301 Figure 5. SEM images of C-DCES placebo fibers prepared at (a) 100 mL/h and (b) 300 mL/h (25 kV).

For C-ACES a higher AC voltage of at least 75 kV<sub>RMS</sub> was needed to promote fiber production. In comparison, the single needle ACES method only requires high voltages above  $10 \text{ kV}_{RMS}$ . Another notable aspect of using the corona spinneret with AC high voltage was the application of a grounded surface in front of the spinneret to aid fiber formation. While ACES with a needle- or rod-type spinneret operates readily without a grounded counterpole (known as collectorless operation), during C-ACES without the grounded surface the fibrous plume was flying too slowly and the fibers started to soak and stick to the cap of the spinneret.

The C-ACES experiments were executed at throughput rates gradually increased from 309 310 100 mL/h to 1200 mL/h by 100 units (Fig. 6). In the mentioned throughput range smooth fiber 311 formation could be observed. When increasing the flow rate to 600 mL/h (Fig. 6b) and further to 1200 mL/h (Fig. 6c), the fibrous plume expanded and the formation of fibers became more 312 intense. Over 1200 mL/h the fibers started to get wet on the collector and the excess solution 313 spattered out of the plate of the spinneret. SEM revealed same C-ACES fiber quality as in case 314 of ACES since no droplets or bead-on-string structures were observable in the images (Fig. 2c 315 and Fig. 7). Increasing the high voltage over 100 kV<sub>RMS</sub> did not result in any significant 316 improvement in either fiber quality or productivity. C-ACES comes close to the most 317 318 productive yet simple ES method with a 20-fold throughput increase compared to single needle

ACES (60 mL/h) and with two orders of magnitude higher productivity compared to single
needle DCES (~10 mL/h).

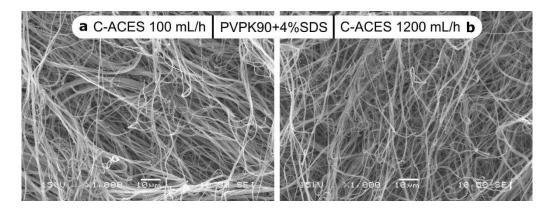


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322 Figure 6. Production of PVPK90 fibers with C-ACES at (a) 100 mL/h, (b) 600 mL/h and (c) 1,200 mL/h (100

323 kV<sub>RMS</sub>, 75 cm spinneret-collector distance). 1 – Corona spinneret (110 mm OD); 2 – Fibrous plume

324 (highlighted); 3 – Grounded grid; 4 – Measuring capacitor; 5 – High voltage power supply.



325

Figure 7. SEM images of C-ACES placebo fibers prepared at (a) 100 mL/h and (b) 1,200 mL/h feeding rates
(100 kV<sub>RMS</sub>).

## 328 *3.2. Preparing drug-loaded scaled up productivity C-ACES fibers*

After the optimization of the composition, drug-loaded PVPK90 fibers with 20% SPIR content (w/w) were attempted to prepare using these methods in order to enhance the <u>dissolution</u> properties of SPIR. The high throughput rate of C-ACES could be maintained even in the presence of the active substance. As it can be seen in <u>Fig. 8</u>, excellent quality drug-loaded

- 333 PVPK90-SDS-SPIR fibers could be obtained with both ACES and C-ACES possessing large
- surfaces thereby an enhanced drug dissolution is expected.

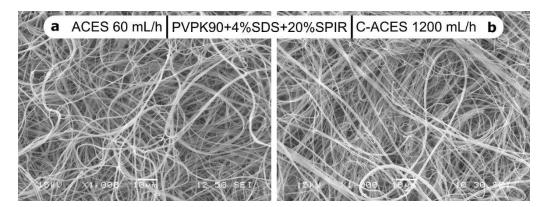


Figure 8. PVPK90-based nanofibers with SPIR content prepared with (a) ACES (60 mL/h) and (b) C-ACES
(1,200 mL/h).

## 338 *3.3. Fiber diameter analysis*

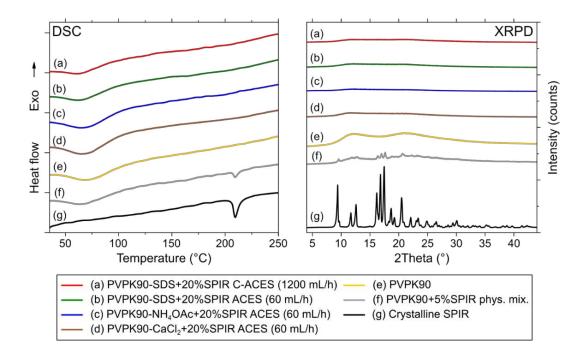
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Fiber diameter analysis was carried out in order to investigate the effects of the preparation 339 methods and drug loading on fiber thickness. Table 2 shows that the average diameters of the 340 placebo PVPK90 + 4%SDS fibers are similarly around 1 µm regardless the type of high voltage 341 or the spinneret used. The results with PVPK90 fibers without any other components show 342 negligible effect of SDS on the average fiber diameter. The multiple times higher throughput 343 of C-ACES and C-DCES did not result in thicker fibers either. In case of the AC electrospun 344 fibers with SDS and 20% SPIR content, the average diameter is about 20% thinner than that of 345 the placebo samples. This fiber thinning phenomenon has already occurred in previous cases 346 347 when SPIR was applied as active compound (Balogh et al., 2017, Balogh et al., 2016). A different conclusion could be drawn when SDS was replaced to CaCl<sub>2</sub> and NH<sub>4</sub>OAc in ACES 348 fibers (see more in Section 3.6), in these cases the SPIR-loaded PVPK90 samples occurred to 349 be thicker than the placebo fibers if they contained  $NH_4OAc$  or  $CaCl_2$  (Fig. 9). Thus, further 350

- investigation is needed to fully explain the dependence of AC electrospun fiber diameter on the
- 352 composition of the polymer solution.
- 353 Table 2. Mean fiber diameters of DC (10 mL/h, 25 kV), C-DC (100 mL/h, 40 kV), AC (60 mL/h, 25 kV<sub>RMS</sub>)
- and C-AC (1,200 mL/h, 100 kV<sub>RMS</sub>) electrospun PVPK90-based fibers with optimized amounts of salts (SDS,
- 355 CaCl<sub>2</sub>, NH<sub>4</sub>OAc) with and without SPIR.

Composition	Mean fiber diameter ( $\mu m \pm SD$ )			
	DCES	C-DCES	ACES	C-ACES
	(10 mL/h)	(100 mL/h)	(60mL/h)	(1,200 mL/h)
PVPK90	0.88±0.27	0.92±0.27	poor fibers	poor fibers
PVPK90+4%SDS	0.93±0.35	0.87±0,39	1.14±0.46	1.07±0.55
PVPK90+4%SDS+20%SPIR	-	-	0.83±0.35	0.81±0.32
PVPK90+2.5%NH4OAc	-	-	0.72±0.22	-
PVPK90+2.5%NH4OAc+20%SPIR	-	-	1.07±0.45	-
PVPK90+0.5%CaCl <sub>2</sub>	-	-	1.00±0.59	-
PVPK90+0.5%CaCl <sub>2</sub> +20%SPIR	-	-	1.67±0.69	-

356 *3.4. Physical characterization of the electrospun samples* 



357

**358** Figure 9. Differential scanning calorimetry thermograms (DSC) and X-ray powder diffraction patterns

359 (XRPD) of (a-d) AC and C-AC electrospun PVPK90-based SPIR-loaded nanofibers, (e) PVPK90,

- 361
- 362 3.5. Physical characterization

<sup>360 (</sup>f) physical mixture of PVPK90 and 5% SPIR and (g) crystalline SPIR.

In order to investigate the physical state of SPIR in the drug-loaded electrospun formulations 363 DSC measurements were carried out first (Fig. 10). The melting peak of the crystalline drug is 364 well observable around 210 °C in the curve of the pure crystalline SPIR and the 5% physical 365 mixture as well (Fig. 10f and g). In the cases of the drug-loaded electrospun samples no such 366 signs were detected suggesting the amorphization of SPIR, only the endothermic water loss of 367 PVPK90 can be seen between 50 °C and 100 °C (Fig. 10a-e). These results also confirm the 368 smooth operation of C-ACES regardless the much higher throughput rate applied compared to 369 370 ACES and conventional DCES.Additional measurements were recorded with XRPD, another delicate method for identifying small traces of crystallinity. The sharp peaks of crystalline SPIR 371 is clearly visible, the most intense ones are at 8° and between 16° and 18° (Fig. 9f-g). PVPK90 372 as well as the drug-loaded samples were found to be amorphous. Thus, based on both the DSC 373 and XRPD measurements SPIR was dispersed in a fully amorphous form in the electrospun 374 375 formulations owing mainly to the fast drying effect of C-ACES and ACES.

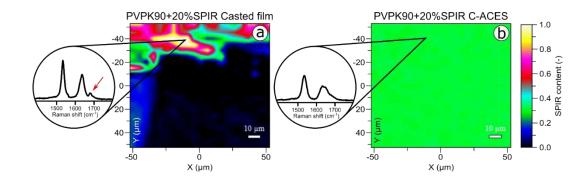


Figure 10. Raman maps illustrating the distribution of SPIR in (a) PVPK90-crystalline SPIR reference and
(b) drug-loaded C-ACES fibers (1,200 mL/h, 100kV<sub>RMS</sub>). Calculated SPIR content is illustrated by different
colors in the maps from 0.0 (0%) to 1.0 (100%).

Additional measurements were recorded with XRPD, another delicate method for identifying small traces of crystallinity. The sharp peaks of crystalline SPIR is clearly visible, the most intense ones are at 8° and between 16° and 18° (Fig. 10f and g). PVPK90 as well as the drugloaded samples were found to be amorphous. Thus, based on both the DSC and XRPD measurements SPIR was dispersed in a fully amorphous form in the electrospun formulations owing mainly to the fast drying effect of C-ACES and ACES.

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Raman mapping analyses were carried out in order to demonstrate the homogeneity of the drug 387 in the fibrous sample produced by C-ACES at high feeding rate (1200 mL/h). Raman 388 microspectroscopy is also an excellent method for identifying small traces of crystalline SPIR 389 because specific peaks of the crystalline and amorphous API distinctly differ (Patyi et al., 2010). 390 A casted PVPK90+20%SPIR film served as reference containing drug crystals since SPIR 391 tends to crystallize when the evaporation of the solvent is too slow. In Fig. 11a the 392 inhomogeneous distribution of SPIR is well observable in the casted film reference. The 393 brighter areas on the map represent nearly 100% SPIR content where the specific peak of 394 crystalline SPIR at 1690 cm-1 appeared in the Raman spectra. In contrast, all the drug-loaded 395 396 electrospun samples showed homogeneous distribution of SPIR based on the Raman results (Fig. 11b). The merging of the peak at 1690 cm-1 with the adjacent peak signifies amorphous 397 SPIR content in the samples. To sum it up, Raman mapping revealed homogenous distribution 398 399 and amorphous SPIR content in the drug-loaded fibers in good accordance with the DSC and XRPD measurements (Fig. 12). 400

## 401 In vitro dissolution tests

In order to explore the drug release from the electrospun samples in vitro dissolution tests were carried out. Only half of the 25 mg dose dissolved from the crystalline SPIR reference after two hours indicating limited solubility. All the electrospun fibers showed enhanced drug release, in the case of the electrospun fibers with SDS the release was complete within 5 min. The ACES and C-ACES samples exhibited equally fast dissolution.

Further fibrous samples were prepared and tested to examine the importance of SDS during the enhanced dissolution of SPIR. When SDS was replaced with NH4OAc or CaCl2 in the AC electrospun fibers for conductivity adjustment, SPIR concentration slowly peaked at 75% after 90 min. This phenomenon is similar to what Vigh et al. experienced with amorphous SPIRloaded PVP webs (Vigh et al., 2013). Accordingly, SPIR immediately crystallizes from PVP formulations in the absence of a surfactant or complexing agent due to temporary gelation and
therefore induced hindered drug diffusion. Thus, besides determining conductivity, SDS also
prevents SPIR from precipitation during dissolution.

Based on these findings regarding the role of SDS one could wonder whether the fast drug 415 release of SPIR can be attributed only to the solubilizing effect and the huge surface area and 416 the amorphous form are less important. Therefore, the dissolution of the physical mixture of 417 ACES 418 the optimal composition used in the and C-ACES experiments (PVPK90+4%SDS+20%SPIR) was also measured. In this case the dissolution reached again 419 only 75% after two hours in spite of the applied SDS. This verifies the importance of large 420 421 surfaces and amorphous state of the drug regarding dissolution.

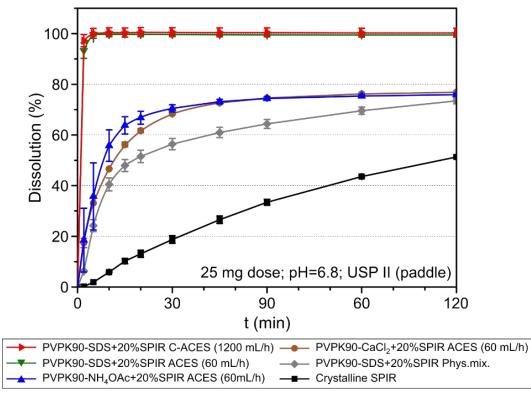




Figure 11. Dissolution profiles of SPIR from drug-loaded, PVPK90-based AC electrospun fibers (as spun)
containing 20% SPIR. The error bars indicate the standard deviations (n = 3) [25 mg dose, 900 mL pH = 6.8
100 mM phosphate buffer, USP Dissolution Apparatus 2 (paddle), 100 rpm, 37°C].

## 427 **4.** Conclusion

The poor processability of PVPK90 with ACES was addressed via a thorough 428 optimization of conductivity and polymer concentration of the spinning solution. Similarly to 429 our earlier findings conductivity was found to be an important factor for ACES in the case of 430 PVPK90. As a result, excellent quality fibrous material could be AC electrospun with 431 submicronic diameters. With the optimized composition an attempt was made to scale up 432 electrospinning. By replacing the needle to a 110 mm rotating corona spinneret C-ACES was 433 able to achieve two orders of magnitude higher productivity compared to single needle DCES 434 and a 10-fold and a 20-fold increase compared to C-DCES and ACES, respectively. Drug-435 436 loaded fibers were also successfully prepared with C-ACES at scaled-up productivity maintaining similar fiber morphology to that of DCES and ACES. The physical state of the 437 drug was investigated with DSC and XRPD, SPIR was dispersed in an amorphous state in the 438 439 PVPK90 matrix in all the drug-loaded fibrous formulations. Raman mapping revealed that SPIR was embedded homogenously in the fibrous samples, no traces of crystallinity could be detected 440 441 either. Based on fiber diameter analysis no difference could be observed between ACES and C-442 ACES reference fibers despite the several times higher throughput of the corona spinneret. When SPIR was added together with SDS the reduction of fiber diameter could be observed. In 443 turn, applying CaCl2 or NH4OAc with SPIR resulted in the thickening of the fibers compared 444 to the reference samples. In vitro dissolution studies showed ultrafast drug release in the case 445 of PVPK90-SDS-SPIR ACES and C-ACES samples. A suspected precipitation occurred with 446 CaCl2 and NH4OAc-loaded samples. These results indicate a double role of SDS: it increases 447 the conductivity of the electrospinning solution and hinders the precipitation of SPIR in the 448 dissolution media due to its solubilization ability. In summary, a new method was constructed 449 for a two orders of magnitude scale-up of conventional electrospinning with C-ACES also 450 capable to produce fibrous drug-loaded ASDs. 451

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